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None

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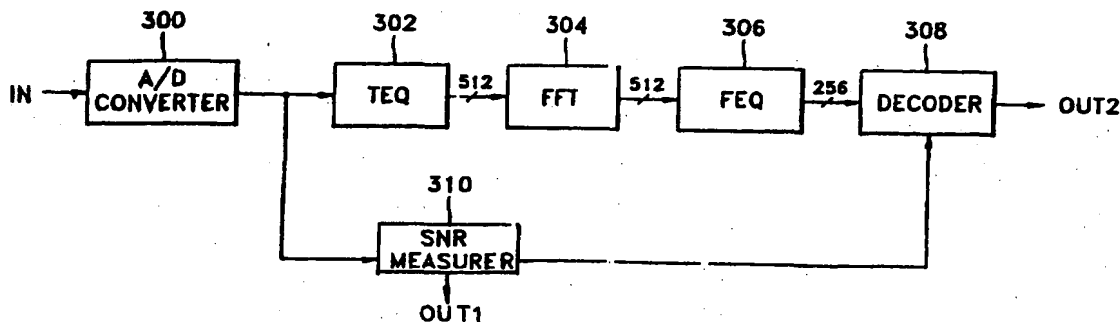
INT CL<sup>6</sup> H04L 5/06 27/34

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(54) Adaptive bit swapping between channels of a discrete multitone system

(57) An adaptive bit swapping method and device are provided. The method includes the steps of (a) initializing (200) the DMT system to transmit the data via the channel in a steady state; (b) selecting (204) a frame (400) having an inserted sync block from a frame structure of the transmitted data; (c) calculating (210) the signal-to-noise ratios (SNRs) of respective sub-channels of the selected frame; (d) calculating (214) a first difference value between the present representative SNRs calculated in step (c) and the previous representative SNRs of each sub-channel; (e) selecting (216) a maximum value and minimum value among the first difference values of the respective sub-channels; (f) obtaining a second difference value being a difference between the maximum value and the minimum value; (g) determining (218) whether the second difference value is equal to or greater than the predetermined threshold value; and (h) correcting (220) bit and power assigning tables of a transmitter and a receiver if the second difference value is greater than or equal to the threshold value. In addition, the bit and power assigning tables can be corrected accurately since bits and power are swapped using an actually measured SNR.

FIG. 3



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FIG. 1 (PRIOR ART)

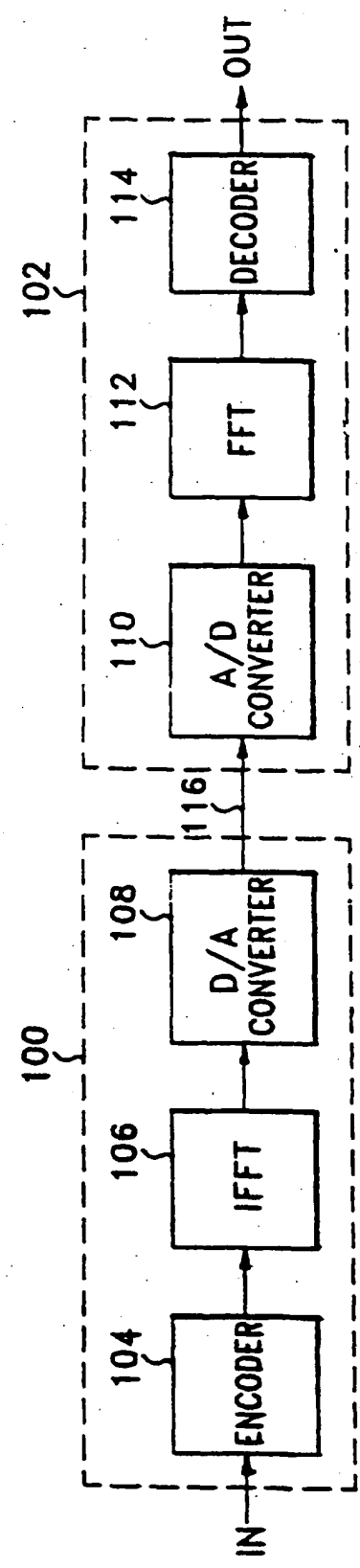


FIG. 2

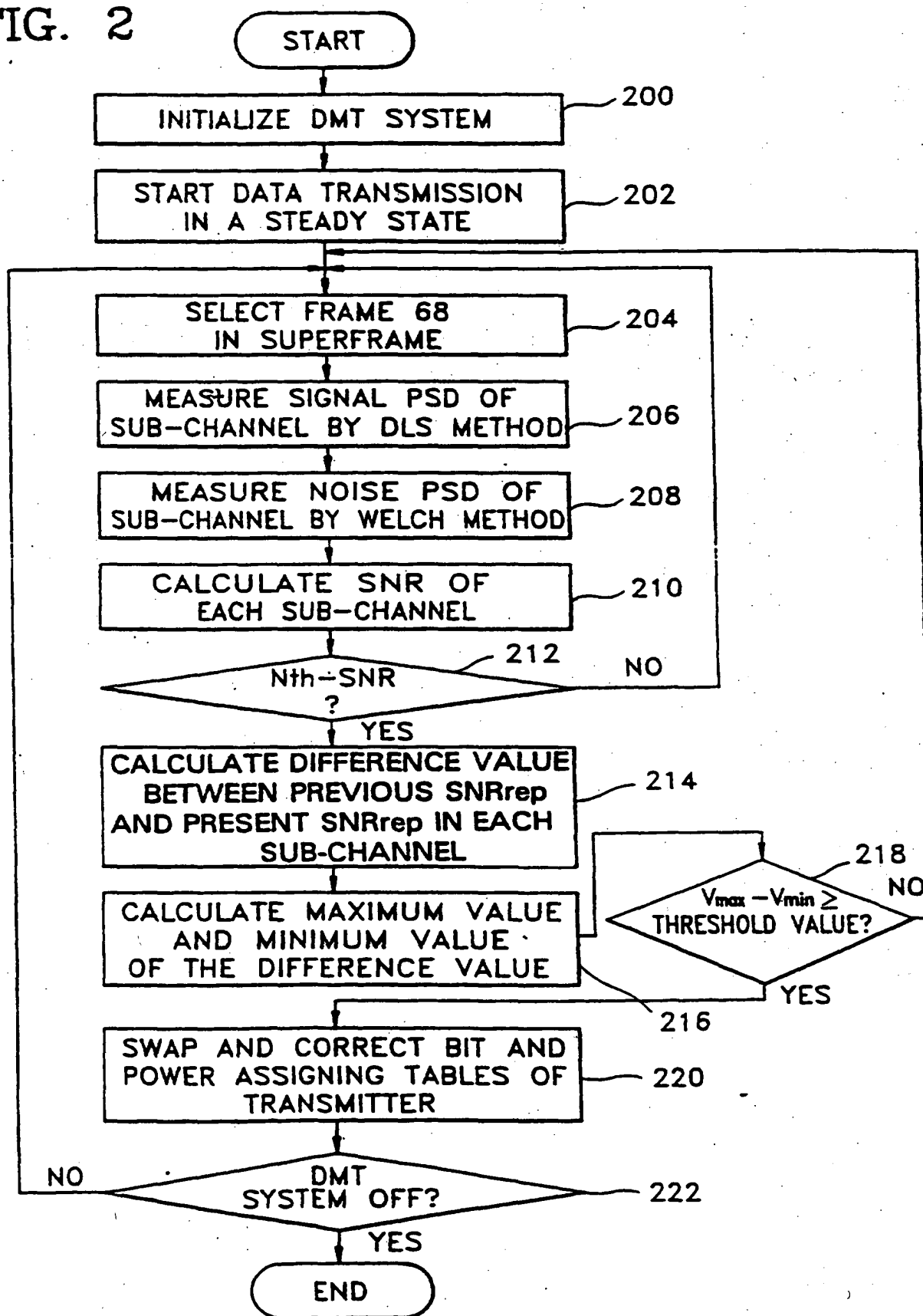
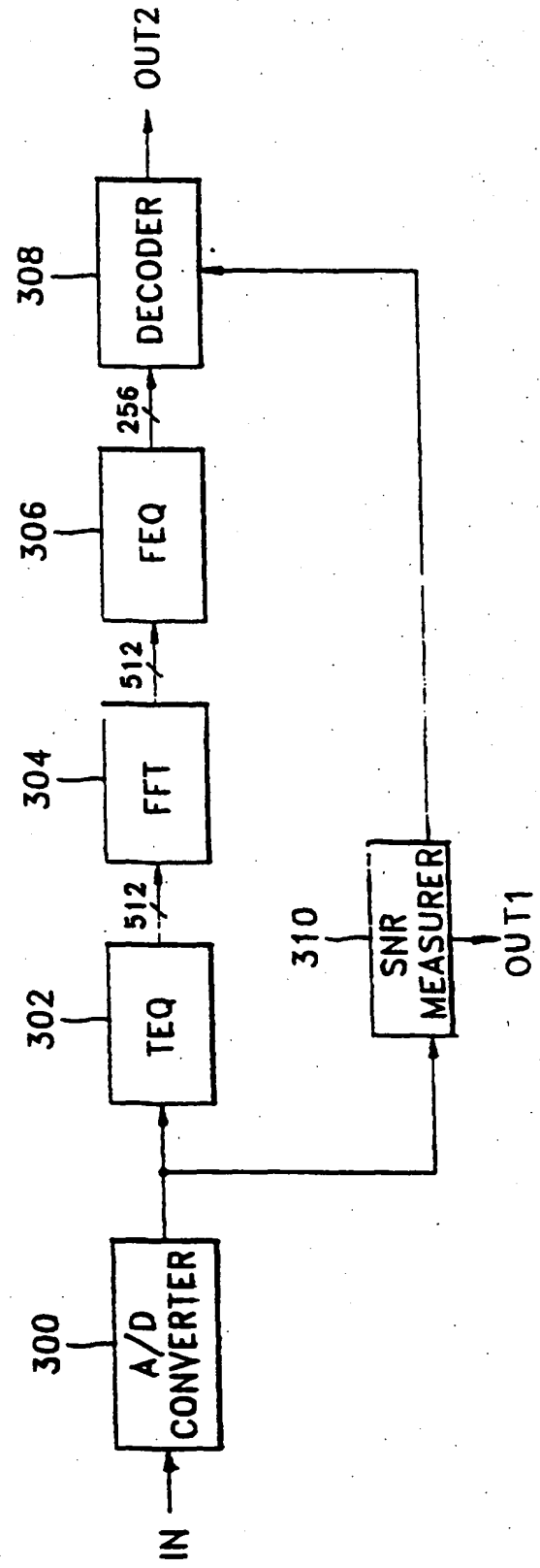
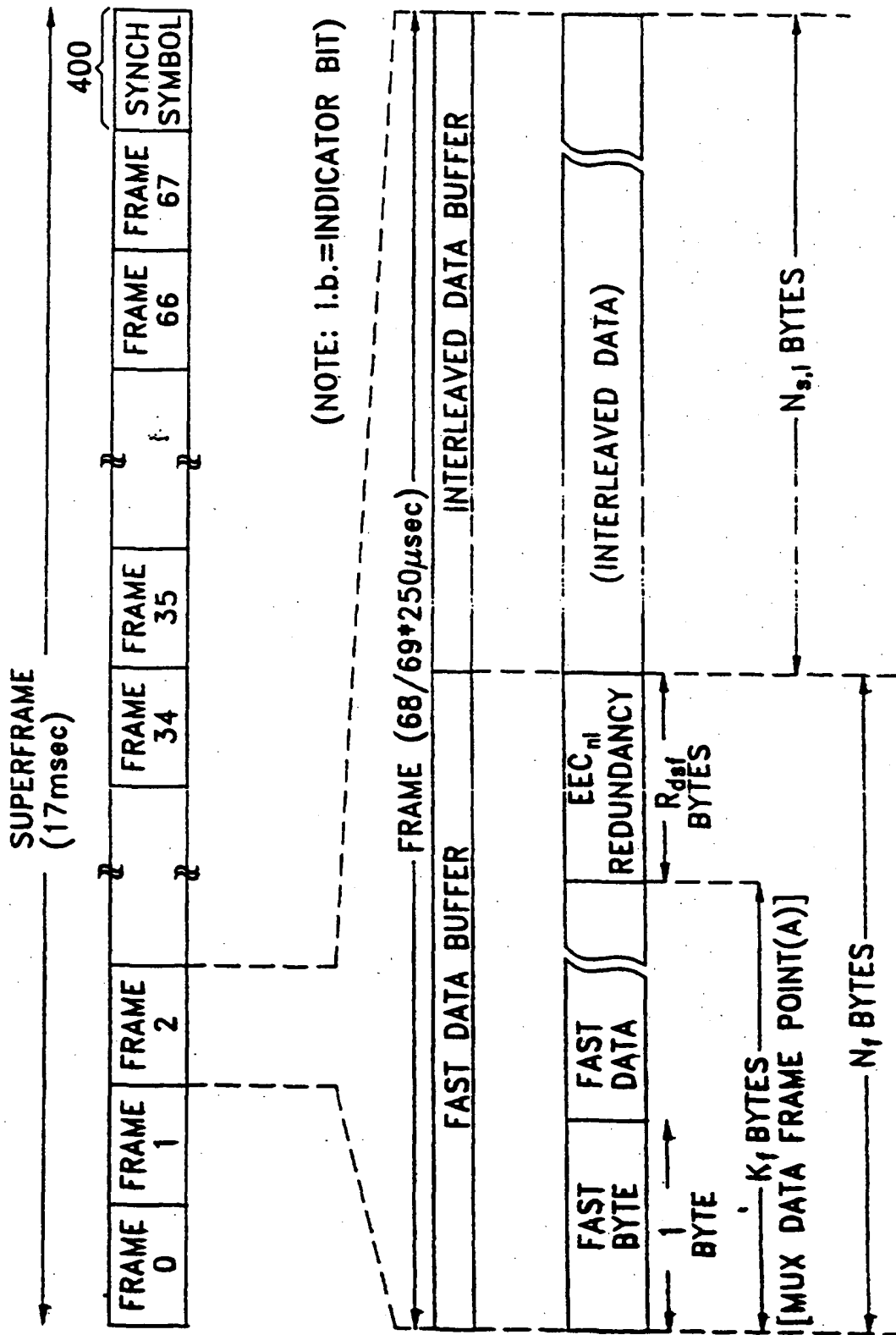


FIG. 3



**FIG. 4**



ADAPTIVE BIT SWAPPING METHOD AND DEVICE  
FOR DISCRETE MULTITONE SYSTEM

The present invention relates to a discrete multitone (DMT) system, and more particularly, to an adaptive bit swapping method and device for a DMT system, which adjust the number of bits and power assigned to each sub-channel according to channel characteristics varied during data transmission.

A multicarrier is generally used in a DMT system to use a channel efficiently for transmitting data. Basically in multicarrier modulation, several carrier-modulated waveforms are overlapped to represent an input bit stream. A multicarrier transmission signal is the composite of  $M$  independent sub-signals or sub-channels, each having the same bandwidth of 4.3125KHz and respective main frequencies of  $f_i$  ( $i=1, 2, 3, \dots, M$ ). These sub-signals are Quadrature Amplitude Modulation (QAM) signals. When data is transmitted at a high speed via an inferior transmission path such as a copper line, the DMT system enables the data to be transmitted at 6Mbps or above, thus offering a good service. In this DMT system using several carriers, the number of bits and power of each channel depending on its signal-to-noise ratio (SNR) are assigned to each sub-channel in the initialization of the system.

Changing the number of bits and power assigned to each sub-channel according to its SNR, which is varied without an interruption in a data stream in a data transmission mode, is referred to as bit swapping. Bit swapping is used

in an Asymmetric Digital Subscriber Line (ADSL) service employing the DMT system to reduce an error probability of transmission data.

Channel characteristics vary gradually with time in most systems, and frequency response characteristics of an ADSL loop vary gradually with temperature. Therefore, a channel model determined in the initialization of a system should be changed according to the frequency response characteristics.

A conventional method for allocating bits to a sub-channel will be described as follows.

A transmitter terminal as well as a receiver terminal by adaption can operate according to the essential concept of a bit allocating method which has been proposed in a dissertation submitted to the Department of Electrical Engineering and the Committee on Graduate Studies of STANFORD University in partial fulfillment of the requirements for the Degree of Doctor of Philosophy, May 1993, entitled "BANDWIDTH OPTIMIZED DIGITAL TRANSMISSION TECHNIQUES FOR SPECTRALLY SHAPED CHANNELS WITH IMPULSE NOISE", by Ronald R. Hunt and P.S. Chow. Details of the bit allocating method there described are as follows:

1. the steady state mean square errors (MSE)'s of all used sub-channels are monitored, where these error values are differences between inputs and outputs of a slicer;
2. it is determined continuously whether the difference between a maximum error value and a minimum error value is a predetermined threshold value (generally

3dB) or above, and if the difference is the threshold value or above, the procedure goes to the subsequent step;

3. the bit number of a value in a bit allocation table for a sub-channel having the maximum error value is decreased by 1, while the bit number of a value in a bit allocation table for a sub-channel having the minimum error value is increased by 1;

4. the minimum error is doubled, while the maximum error is halved;

5. the slicer settings for two sub-channels whose bit values are changed are adjusted; and

6. the bit swapping information is sent back to a transmission part.

The initial number of bits allocated to a sub-channel is determined according to an SNR measured during an initialization in an ADSL DMT system. However, the above bit allocation method exhibits the drawback that an incorrect bit swapping may be performed, since an MSE value may be increased due to an error, such as a burst error when data is examined in a reception part, or a frequency-domain equalizer (FEQ) error which can affect MSE in a steady state.

To circumvent the above problems, it is first object of the present invention to provide an adaptive bit swapping method for a DMT system in which bits allocated to each sub-channel are swapped in a transmission unit according to an actually measured SNR.

It is second object of the present invention to



provid an adaptive bit swapping d vice for a DMT system.

To achieve th first object, there is provided an adaptive bit swapping method in a discrete multitone (DMT) system for an asymmetric digital subscriber line (ADSL) which has a transmitter for encoding and converting data to be transmitted via a channel, and a receiver for restoring the transmitted data to the original form by conversion and decoding, said method comprising the steps of: (a) initializing said DMT system to transmit said data via said channel in a steady state; (b) selecting a frame having an inserted sync block from a frame structure of said transmitted data; (c) calculating the signal-to-noise ratios (SNRs) of respective sub-channels of said selected frame; (d) calculating first difference value between the present representative SNRs calculated in step (c) and the previous representative SNRs of each sub-channel; (e) selecting a maximum value and minimum value among the first difference values of said respective sub-channels; (f) obtaining the second difference value between said maximum value and said minimum value; (g) determining whether said second difference value is equal to or greater than the predetermined threshold value; and (h) correcting bit and power assigning tables of a transmitter and a receiver if said second difference value is greater than or equal to said threshold value.

To achieve the second object, there is provided an adaptive bit swapping device functioning as a receiver for restoring th transmitted data to the original form by

conversion and decoding, said device being included in a discrete multitone (DMT) system for an asymmetric digital subscriber line (ADSL) which has a transmitter for encoding and converting data to be transmitted via a channel, said device comprising: A/D converting means for converting said analogue data signal received via said channel into a digital signal; time-domain equalizing means for receiving said digital signal and reducing a guard band used to remove an interblock interference; fast-Fourier transforming means for receiving the output of said time-domain equalizing means and demodulating said data signal modulated in said transmitter; frequency-domain equalizing means for receiving the output of said fast Fourier transforming means and compensating for a phase error of each sub-channel; SNR measuring means for selecting a frame having an inserted sync block from a frame structure of said transmitted data, calculating the signal-to-noise ratios (SNRs) of respective sub-channels of said selected frame, calculating first difference value between the present representative SNRs calculated above and the previous representative SNRs of each sub-channel, selecting a maximum value and minimum value among the first difference values of said respective sub-channels, obtaining the second difference value between said maximum value and said minimum value, determining whether said second difference value is equal to or greater than the predetermined threshold value and outputting to a transmitter and a receiver the signal used for correcting

bit and power assigning tables of a transmitter and a receiver; and decoding means for receiving the outputs of said SNR measuring means and said frequency-domain equalizing means, resetting a slice value, and decoding said reset slice value.

Specific embodiments of the present invention are described in detail below, by way of example, with reference to the attached drawings, in which:

FIG. 1 is a block diagram of a conventional basic DMT system;

FIG. 2 is a flow-chart of a bit swapping method for a DMT system according to an embodiment of the present invention;

FIG. 3 is a block diagram of a receiving unit in a DMT system for performing the method of FIG. 2 according to an embodiment of the present invention; and

FIG. 4 illustrates the structure of a superframe based on "ADSL standards", which is transmitted in a steady state.

An adaptive bit swapping method and device for a DMT system according to an embodiment of the present invention will be described below, with reference to the attached drawings.

A conventional basic DMT system shown in FIG. 1 has a transmitter 100 including an encoder 104, an inverse fast Fourier transformer (IFFT) 106 and a digital/analogue (D/A) converter 108, a receiver 102 including an analogue/digital (A/D) converter 110, a fast Fourier transformer (FFT) 112

and a decoder 114, and a transmission path (a transmission channel or a channel) 116. A DMT system for an ADSL transmits a signal via 256 individual channels each having a 4KHz bandwidth. The encoder 104 of the transmitter 100 in the DMT system shown in FIG. 1 simply receives data sequences via an input terminal IN (the accurate number of bits depends on a data rate and an overhead) and allocates the input data sequences to a multitude of sub-channels. The IFFT 106 produces a plurality of time based samples having several real number values from an encoded value. The D/A converter 108 converts a plurality of the received time based samples into an analogue signal suitable for transmission via a copper line, and transmits the analogue signal to the A/D converter 110 via the transmission path 116.

The receiver 102 performs the operations of the transmitter 100 in a reverse order. The receiver 102 consists of three components for performing time recovery, filtering, and channel check functions, respectively.

An adaptive bit swapping device for a DMT system according to an embodiment of the present invention is shown in Fig.3 and includes an A/D converter 300, a time-domain equalizer (TEQ) 302, an FFT 304, a frequency-domain equalizer (FEQ) 306, a decoder 308, and an SNR measurer 310.

Referring to FIG. 2, showing an algorithm for a bit swapping method for a DMT system according to an embodiment of the present invention, when the DMT system is activated

to transmit data, it is initialized with regard to the channel conditions of the transmitter and receiver, in step 200. The initialization is divided into activation & recognition, transceiver training, and channel analysis & exchange. The initialization in the embodiment of the present invention is especially concerned with channel analysis, since the SNR of each sub-channel of a channel formed between the transmitter and the receiver is measured and the number of bits and power are assigned according to the variation in the measured SNR. When the DMT system is placed in a steady state after the initialization, data transmission begins, in step 202.

FIG. 4 illustrates the structure of a superframe of data transmitted in a steady state, which is determined by "ADSL standards". Referring to FIG. 4, a synchronization symbol 400 used to restore the synchronization of the data without reinitialization when the data are affected by an instantaneous interrupt is inserted in a frame 68 of frames 0-68.

In step 204, frame 68 alone is selected after step 202 in the embodiment of the present invention, whereas all frames among the 68 frames shown in FIG. 4 are selected to obtain MSEs in the prior art. In step 206, the signal PSD of each sub-channel is measured by a deterministic least sequence (DLS) method, after step 204. The DLS method indicates that known sequence values received from the transmitter via the channel are accumulated and averaged. A channel response free of random noise can be achieved by

this method, and the signal PSD of each sub-channel can be achieved by fast Fourier transforming the channel response. In step 208, the noise PSD of each sub-channel is measured by a Welch method after step 206. After step 208, the SNR of each sub-channel is obtained from the measured signal PSD and noise PSD in step 210. After step 210, it is determined whether the obtained SNR is the Nth SNR of each sub-channel or not in step 212. Here, N is a predetermined number(50-150). Steps 206-210 should be performed repeatedly for series of N sequent superframes because a plurality of sync frames 68, each pattern of which is known, is needed in order to accurately measure the SNR of each sub-channel.

If N SNRs for each sub-channel are obtained, then firstly, the representative SNR( $SNR_{rep}$ ) of each sub-channel is obtained by averaging the N SNRs. Then, the difference value(or first difference value) between the presently obtained  $SNR_{rep}$  and the previously obtained  $SNR_{rep}$  is calculated for each sub-channel. By a method similar to that described above, all first difference values for all sub-channels are obtained in step 214.

The maximum and the minimum values among the first difference values calculated in step 214 are selected in step 216. After step 216, the second difference value between the maximum value and the minimum value is calculated and it is determined whether the second difference value is a predetermined threshold value(around 3 DB) or not in step 218. If the second difference value is

smaller than the threshold value, the procedure feeds back to step 204, and if it is equal to or greater than the threshold value, the bits and power assigned to a corresponding sub-channel in a transmitter are swapped.

5 That is, the number of bit of a sub-channel having a minimum value is assigned to sub-channel having a maximum value. Thus, the corresponding parameters (a bit number and power table) should be changed to enable a receiver to make an accurate decision, in step 220. In step 222, it is  
10 determined whether the DMT system is off after step 220. If it is not off, the procedure feeds back to step 204, and if it is off, the bit swapping method of the present invention ends.

Since the bit swapping only takes place once after at  
15 least one superframe has been transmitted (17msec is required for one superframe transmission), a long time is required for the bit swapping. However, even though the channel changes during the time required for the bit swapping, this method can be used because a channel changes  
20 very slowly, for example by temperature, etc.

Fig.3 shows a device for performing the above-described method. The A/D converter 300 converts an analogue signal received via an input port IN into a digital signal. The TEQ 302 receives the digital signal  
25 from the A/D converter 300 and reduces a guard band used to remove an interblock interference (IBI) produced due to characteristics of a DMT system. For this purpose, a finite impulse response filter (FIR) may be used as the TEQ

302. The FFT 304 receives the signal output from the TEQ 302 and performs a demodulation corresponding to a modulation of the transmitter. Thus, the FFT 304 serves as a demodulator corresponding to the IFFT 106 of FIG. 1. The FEQ 306 is a filter for receiving the output of the FFT 304 and compensating for a phase error of each sub-channel. Meanwhile, the SNR measurer 310 of FIG. 3 receives the output of the A/D converter 300 and performs the steps 204-220 described in Fig.2. The SNR measurer 310 can be achieved in terms of software in a digital signal processor. After processing step 218 shown in Fig.2, the SNR measurer 310 outputs the control signal for bit swapping to the transmitter via an output port OUT1 to correct a bit allocation table at a transmitter, and the measured SNR of each sub-channel is output to the decoder 308. The decoder 308 receives the outputs of the SNR measurer 310 when frame 68 is input, and the output of the FEQ 306 when any frame among frames 0 - 67 is input, decides a slicer value, decodes the reset slicer value, and outputs the decoded value via an output port OUT2.

As described above, in the adaptive bit swapping method and device of embodiments of the present invention in the DMT system, the method for comparing SNRs is added to an SNR measuring method used in a conventional process of initialization. The adaptive bit swapping device selects only frame 68 from each superframe, thereby simplifying a conventional complex hardware construction using all frames. Furthermore, in the adaptive bit swapping



method, more accurate swapping information for changing the number of bit and corresponding power can be transmitted to a transmitter than in the conventional method depending on an MSE, since an actually measured SNR value on a frame 68 is used when the assigned bit number and the assigned quantity of power are changed according to a channel variation.

## CLAIMS

1. An adaptive bit swapping method for use in a discrete multitone (DMT) system for an asymmetric digital subscriber line (ADSL) which has a transmitter for encoding and converting data to be transmitted via a channel, and a receiver for restoring the transmitted data to the original form by conversion and decoding, said method comprising the steps of:

(a) initializing said DMT system to transmit said data via said channel in a steady state;

(b) selecting a frame having an inserted sync block from a frame structure of said transmitted data;

(c) calculating the signal-to-noise ratios (SNRs) of respective sub-channels of said selected frame;

(d) calculating first difference values between the present representative SNRs calculated in step (c) and the previous representative SNRs of each sub-channel;

(e) selecting a maximum value and minimum value among the first difference values of said respective sub-channels;

(f) obtaining a second difference value being a difference between said maximum value and said minimum value;

(g) determining whether said second difference value is equal to or greater than a predetermined threshold value; and

(h) correcting bit and power assigning tables of a transmitter and a receiver if said second difference value

is greater than or equal to said threshold value.

2. An adaptive bit swapping method as claimed in claim 1, wherein said step (a) comprises the steps of:

establishing the initial bits and power values of said DMT system; and

starting a transmission of data in a steady state of said DMT system.

3. An adaptive bit swapping method as claimed in claim 1 or claim 2, wherein said step (c) comprises the steps of;

measuring the signal power spectrum density (PSD) of each sub-channel by a deterministic least sequence (DLS) method;

measuring a noise PSD of each sub-channel by a Welch method; and

calculating said SNR of each sub-channel from said measured signal PSD and said noise PSD.

4. An adaptive bit swapping method as claimed in any of claims 1 to 3, wherein said steps (b) and (c) are performed repeatedly a predetermined number of times, and representative SNR value of each sub-channel are calculated making use of said SNRs if the predetermined number of SNRs is obtained for each sub-channel.

5. An adaptive bit swapping method as claimed in claim 4, wherein said step (c) comprises the step of;

feeding the procedure back to said step (b), if said predetermined number of SNRs of each sub-channel has not been obtained .

6. An adaptive bit swapping method as claimed in any preceding claim, wherein said step (g) comprises the step of;

feeding the procedure back to said step (b), if said second difference value is not greater than or equal to said predetermined threshold value.

7. An adaptive bit swapping device adapted to function as a receiver for restoring transmitted data to its original form by conversion and decoding, said device being adapted for inclusion in a discrete multitone (DMT) system for an asymmetric digital subscriber line (ADSL) which has a transmitter for encoding and converting data to be transmitted via a channel, said device comprising:

A/D converting means for converting said analogue data signal received via said channel into a digital signal;

time-domain equalizing means for receiving said digital signal and reducing a guard band used to remove an interblock interference;

fast-Fourier transforming means for receiving the output of said time-domain equalizing means and demodulating said data signal modulated in said transmitter;

frequency-domain equalizing means for receiving the output of said fast Fourier transforming means and compensating for a phase error of each sub-channel;

SNR measuring means for obtaining the representative SNRs of said respective sub-channels from the output of said A/D converting means using a frame having an inserted

sync symbol from a frame structure of transmitted data,  
calculating a first difference values between the previous  
representative SNR and present representative SNR for each  
sub-channel, comparing a threshold value with a second  
5 difference value being a difference between maximum and  
minimum value of said first difference values, and  
outputting to a transmitter and a receiver the signal us d  
for correcting a bit allocation table according to the  
compared result; and

10 decoding means for receiving the outputs of said SNR  
measuring means and said frequency-domain equalizing means,  
resetting a slice value, and decoding said reset slice  
value.

8. An adaptive bit swapping device adapted to  
15 function as a receiver for restoring transmitted data to  
its original form by conversion and decoding, said device  
being adapted for inclusion in a discrete multitone (DMT)  
system for an asymmetric digital subscriber line (ADSL)  
which has a transmitter for encoding and converting data to  
20 be transmitted via a channel, said device comprising:

A/D converting means for converting said analogu data  
signal received via said channel into a digital signal;

time-domain equalizing means for receiving said  
digital signal and reducing a guard band used to remove an  
25 interblock interference;

fast-Fourier transforming means for receiving the  
output of said time-domain equalizing means and  
demodulating said data signal modulated in said

transmitter;

frequency-domain equalizing means for receiving the output of said fast Fourier transforming means and compensating for a phase error of each sub-channel;

5 SNR measuring means for selecting a frame having an inserted sync block from a frame structure of said transmitted data, calculating the signal-to-noise ratios (SNRs) of respective sub-channels of said selected frame, calculating first difference values between the present  
10 representative SNRs calculated above and the previous representative SNRs of each sub-channel, selecting a maximum value and minimum value among the first difference values of said respective sub-channels, obtaining a second difference value being a difference between said maximum  
15 value and said minimum value, determining whether said second difference value is equal to or greater than the predetermined threshold value, and outputting to a transmitter and a receiver the signal used for correcting bit and power assigning tables of a transmitter and a  
20 receiver; and

decoding means for receiving the outputs of said SNR measuring means and said frequency-domain equalizing means, resetting a slice value, and decoding said reset slice value.

25 9. An adaptive bit swapping device substantially as herein described with reference to Figure 3 with or without reference to Figures 2 and 4.

10. A discrete multitone (DMT) system for an

asymmetric digital subscriber line (ADSL) which has a transmitter for encoding and converting data to be transmitted via a channel, said system comprising an adaptive bit swapping device as claimed in any of claims 7 to 9.

11. An adaptive bit swapping method substantially as herein described with reference to Figure 2 with or without reference to Figures 3 and 4.



Application N : GB 9613602.3  
Claims searched: 1-11

Examiner: David Midgley  
Date of search: 22 October 1996

**Patents Act 1977**  
**Search Report under Section 17**

**Databases searched:**

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK CI (Ed.O): H4P (PAQ,PAL,PEM)

Int CI (Ed.6): H04L 5/06,27/34

Other: ONLINE:WPI

**Documents considered to be relevant:**

Category	Identity of document and relevant passage	Relevant to claims
	NONE	

X Document indicating lack of novelty or inventive step	A Document indicating technological background and/or state of the art.
Y Document indicating lack of inventive step if combined with one or more other documents of same category.	P Document published on or after the declared priority date but before the filing date of this invention.
& Member of the same patent family	E Patent document published on or after, but with priority date earlier than, the filing date of this application.



FIG. 1 (PRIOR ART)

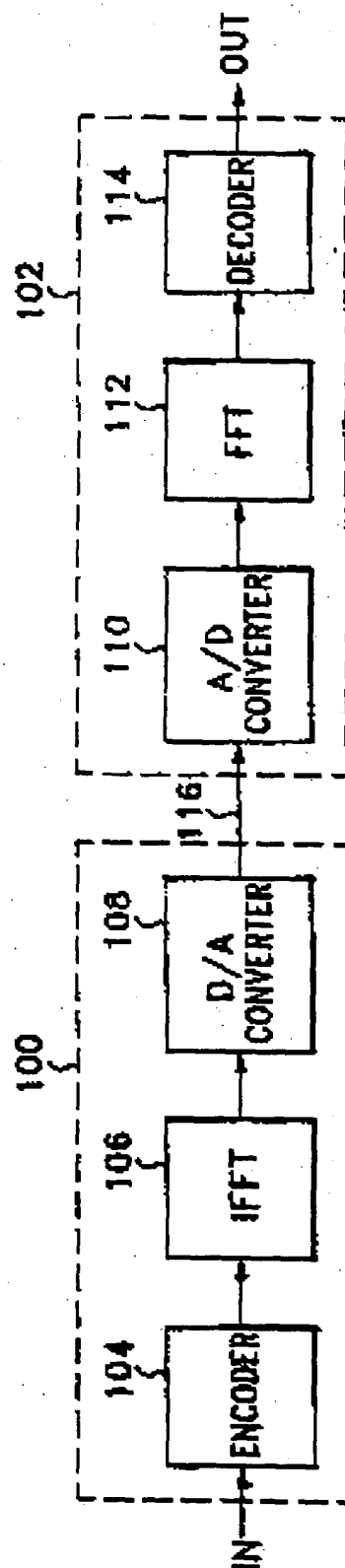


FIG. 2

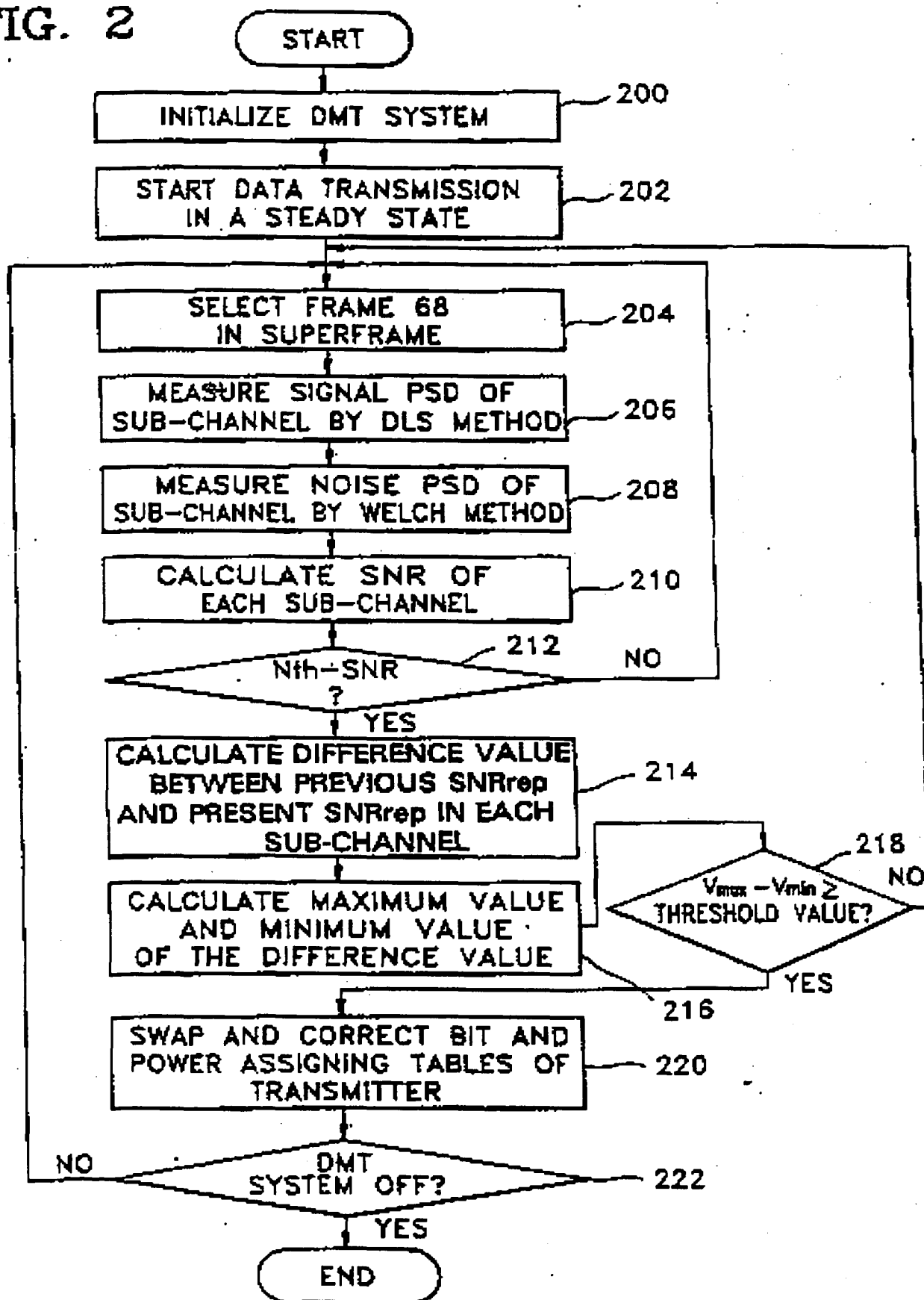
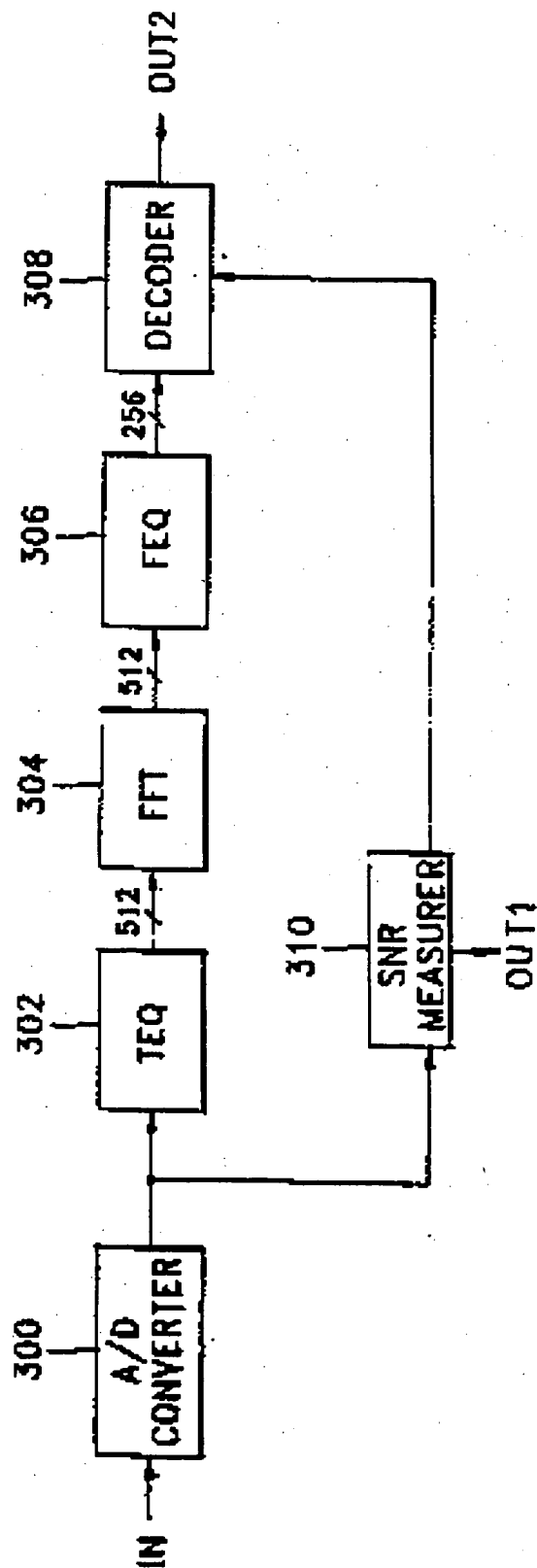


FIG. 3



# FIG. 4

